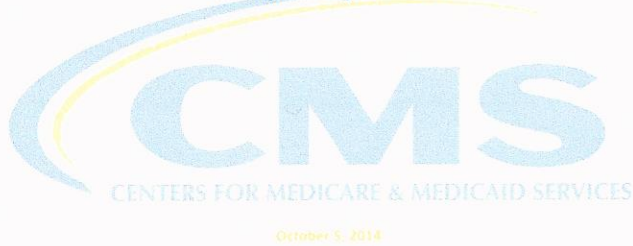


CMS Update on the ICF/IID Program

CMS Update

Melissa Rice
Division of Continuing Care Providers



Objectives

- ICF/IID Demographics
- Identify the top 10 W Tags/Citations
- Updates on the ICF/IID Program

CMS Central Office ICF/IID Team

- **Peggye Wilkerson** – Deputy Director, Division of Continuing Care Providers

– **Melissa Rice** – Team Lead

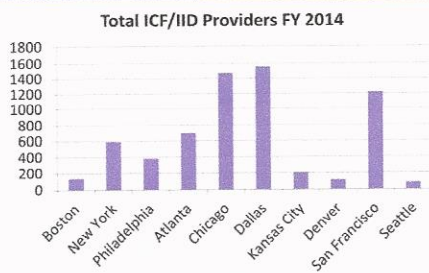
ICF/IID Demographics

Quiz Question

What is the total number of ICF/IID Providers in the United States?

- A. 5234
- B. 6443
- C. 2982
- D. 7923

ICF/IID Demographics



- Total number of ICF/IIDs: 6,443

Quiz Question

What is the total number of individuals served in certified ICF/IIDs?

- A. 81,000
- B. 120,000
- C. 360,000

7

Where Individuals Reside

- Large (>100 beds) 3%
- Medium (17-99 beds) 5%
- Small (4-16 beds) 92%

8

Top 10 Deficient Practice Citations

9

Quiz Question

Which one of the following deficient practices is cited more than the others?

- A. W149– Prevention of abuse, neglect and mistreatment
- B. W369– All drugs administered without error
- C. W249 – Active treatment program implemented when IPP formulated
- D. W120 – Outside services meet needs of clients
- E. W153 – All allegations of abuse, neglect, mistreatment & injuries of unknown origin are reported immediately to administrator and/or others according to state law

10

Top 10 W-Tags

FY14

- **W249** (11.8%) – Active treatment program implemented when IPP formulated
- **W104** (9.9%) – Governing body exercises direction
- **W331** (7.9%) – Nursing services provided in accordance with client needs
- **W369** (8.1%)– All drugs administered without error
- **W149** (6.0%) – Prevention of abuse, neglect and mistreatment

11

Top 10 W-Tags (continued)

- **W189** (6.3%) – Staff training program
- **W322** (6.4%)– Preventive and general health care
- **W368** (5.9%) – Drugs administered in accordance with physicians orders
- **W154** (5.6%)– Alleged violations investigated thoroughly
- **W436** (5.6%) – Furnish, maintain specialized equipment, devices

12

Other Frequently Cited W-Tags

- **W120** – Outside services meet needs of clients
- **W153** – All allegations of abuse, neglect, mistreatment & injuries of unknown origin are reported immediately to administrator and/or others according to state law
- **W159** – Active treatment program coordinated by QIDP

13

What's Happening

14

Interpretive Guidance

- ICF/IID Interpretive Guidelines
 - Updated
 - Probes and procedures moved to State Operations Manual (SOM) Exhibit
 - Final clearance hopefully by end of 2014

15

Survey Process

- ICF/IID survey process workgroup
 - Meets once a month since June 2014.
 - Idea is to streamline the survey process to make it more efficient and effective.
 - Possible pilot - summer 2015

16

Quality Assurance Project

- Facilitate national consistency in the implementation of the ICF/IID regulations.
- Evaluation of the quality of surveyor documentation by using the written citations on the CMS-2567
- W249
 - first ASPEN tag reviewed due to the frequency written and critical nature of the Tag.
- Review tool developed
- Evaluation report to Regional Offices
- 2 hour webinar on the review of W249
- Second ASPEN tag reviewed was W369
 - Webinar date – October 2014

17

Basic Surveyor Webinar Training

- Basic Surveyor Webinar Training
 - Two courses per year via webinar
 - 5 Days/32 Hours
 - 50 students
 - Interactive discussions, polling questions, breakout sessions
 - Pre and post requisite requirements
 - Onsite experience the week immediately following the webinar training.
 - Post-training evaluations at 3 months to test knowledge retention.

18

Questions ?

19

Thank You

- Contact Information:

- Peggye.Wilkerson@cms.hhs.gov
- [410-786-4857](tel:410-786-4857)
- Melissa.Rice@cms.hhs.gov
- [410-786-3270](tel:410-786-3270)

20